

North Coast Unified Air Quality Management District

707 L Street, Eureka, CA 95501
 (707) 443-3093 FAX (707) 443-3093
 http://www.ncuaqmd.org



APPLICATION FOR EMISSION REDUCTION CREDIT (ERC) CERTIFICATE

ERC APPLICATION TYPE: NEW CHANGE OF TITLE ALTERATION

INFORMATION REQUIRED FOR A NEW ERC

EMISSION REDUCTION OBTAINED BY: Equipment Alteration Equipment Removal Mobile Source
 Facility Out of Business New APC Device Process Change Other, Explain: _____

EQUIPMENT DESCRIPTION: _____ PERMIT NO.: _____
 _____ DATE OF REDUCTION (mo/day/year): / /

EMISSIONS INFORMATION

NAME AS IT APPEARS ON ERC CERTIFICATE: <input type="checkbox"/> N/A						
EXISTING ERC CERTIFICATE NO.:	<input type="checkbox"/> N/A	ROG	NOx	SOx	CO	PM10
EXISTING EMITTANT AMOUNT (lbs/day)						
ALTERED EMITTANT AMOUNT (lbs/day)						
REMAINING EMITTANT AMOUNT (lbs/day)						

FACILITY INFORMATION

LEGAL NAME OF APPLICANT: _____ FACILITY ID NO.: _____ IRS OR SOCIAL SECURITY NO. _____

EMISSION REDUCTION LOCATION: ADDRESS & STREET: _____
 CITY: _____ STATE: CA ZIP CODE: _____

BUSINESS MAILING ADDRESS: ADDRESS & STREET: _____
 CITY: _____ STATE: _____ ZIP CODE: _____

LOCATION WHERE ERC WILL BE USED FOR OFFSET (IF KNOWN): ADDRESS & STREET: _____
 CITY: _____ STATE: CA ZIP CODE: _____

NAME(S) OF OWNER/PRINCIPAL PARTNERS: _____

CONTACT PERSON AND TITLE: _____ TELEPHONE NO.: _____

DO YOU CLAIM CONFIDENTIALITY OF DATA? YES NO If Yes, state nature of data: _____

I HEREBY CERTIFY, UNDER PENALTY OF PERJURY, THAT ALL INFORMATION CONTAINED HEREIN AND INFORMATION SUBMITTED WITH THIS APPLICATION ARE TRUE AND CORRECT.
 SIGNATURE: _____ TITLE: _____

TYPE OR PRINT NAME OF SIGNER: _____ TELEPHONE NO.: _____ DATE: _____

AQMD USE ONLY	STATUS:	FACILITY ID NO. APPLICATION NO.:	DATE: _____ ENGR: _____	FILING FEE:
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INSTRUCTIONS FOR THE APPLICATION FOR EMISSION REDUCTION CREDIT (ERC) CERTIFICATE

FORM 1398

- ❖ Complete application Form 1398 for Emission Reduction Credit (ERC) Certificate of Title for each Permit Unit resulting in :
 - ◆ a new emission reduction, (New ERC); or
 - ◆ a Change of Title of an existing ERC Certificate; or
 - ◆ an Alteration of an existing ERC Certificate.

- ❖ Per AQMD Regulation I, Rule 106), every applicant filing an application for affecting emission reductions, banking emissions, obtaining emissions, obtaining emission reduction credits (ERCs), or registering ERCs, shall pay a processing fee in accordance with Regulation IV, Rule 404.

- ❖ If an application is canceled, the processing fee shall not be refunded or applied to any subsequent application unless it is determined that such application is not required pursuant to Air Quality Management District rules.

- ❖ For a New ERC certificate resulting from a new emission reduction, each application shall include the following information:
 - ◆ how the requested emission credits were calculated
 - ◆ how the emission reduction was achieved
 - ◆ when the reduction occurred
 - ◆ operation records for the two years immediately preceding the reduction for each affected permit unit
 - ◆ original permit (if applicable) for each affected permit unit.

- ❖ Identify all equipment by AQMD permit number.

- ❖ For a New ERC certificate resulting from a new emission reduction, each application shall be submitted:
 - ◆ no more than 365 days after the emission reduction occurs pursuant to Regulation I, Rule 106, Section 12, and
 - ◆ by the owner of the equipment, regardless of who operates the equipment, per California Health & Safety Code 40710.

- ❖ For a Change of Title of an existing ERC certificate, each application shall include a sales contract agreement signed by both parties and the applicant's Non Negotiable Copy of the ERC.

- ❖ For an Alteration of an existing ERC certificate, each application shall include the applicant's Not Negotiable Copy of the ERC.

- ❖ Send completed application to:

North Coast Unified AQMD
707 L Street
Eureka, CA 95501

- ❖ If you need assistance in completing your application Form 1398, please call **(707) 443-3093**.